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EDI Claims Release 3.0 (AK v2.5) First Report of Injury (FROI) and Subsequent Report of Injury (SROI) Test Plan Overview

The Alaska (ADOL) – EDI Claims Release 3.0 (AK v2.5) Test Plan describes the Trading Partner categories and the testing stages. The extension of the implementation date from 4**/2/18 to 11/19/2018** and this test plan is being provided to allow additional time for testing that will promote a smooth transition from ADOL Release 3.0 v 2.4 to v 2.5**. <u>To reduce or eliminate the possibility that production reporting may result in late fees, due to rejected transactions; it is recommended that the Trading Partners and/or their Vendors submit test transactions as recommended below.</u>** Trading Partners and/or their EDI Vendors may request testing feedback from Alaska's Claims EDI vendor, Verisk/ISO - Workers Compensation Solutions division, by submitting the "Alaska EDI Testing Feedback Request List v2.5" to adoledi@iso.com.

Who should Test?

It is recommended that all trading partners test AK v2.5 individually or through an EDI vendor with the following considerations.

Trading Partners Using an EDI Vendor

> ➢ Any organization that files EDI FROI/SROI reports using an EDI Vendor who is performing the edits on the data, the vendor may complete the test plan on **behalf of all their clients.**

Trading Partners Transmitting Directly (Direct SFTP)
> ➢ Any organization that files EDI FROI/SROI reports without using an EDI Vendor is strongly recommended to complete the testing as documented below.

Technical Capability Requirements

The file structure/format for the test files must be correct. Each batch must contain an appropriate header record, one or more transaction records with correct record format(s), and a trailer record. The number of records sent must match the record/transaction numbers indicated in the trailer.

This section provides a few pointers on the IAIABC EDI Claims Release 3.0 (AK v2.5) file structure and Alaska's expectations.

Transmission method:

> ➢ Alaska's receipt of FROI/SROI files coincident with method approved on Trading Partner Profile. The information concerning where the test files should be sent will be provided by our vendor, Verisk/ISO.

Header (HD1) Records:

> ➢ Must have proper information, coincident with method approved on Trading Partner Profile
> ➢ Test/Production Indicator (DN 0104): Located in position 82 of the Header Record should be set to 'T' for all testing.

IAIABC					Alaska
DN	Data Element Name	Format	Beg	End	Value
0001	Transaction Set ID	3 A/N	1	3	HD1
0098	Sender FEIN	9 A/N	4	12	(1)
0098	Filler	7 A/N	13	19	Spaces
0098	Sender Postal Code	9 A/N	20	28	(1)
0099	Receiver FEIN	9 A/N	29	37	926001185
0099	Filler	7 A/N	38	44	Spaces
0099	Receiver Postal Code	9 A/N	45	53	998115512
0100	Date Transmission Sent	Date	54	61	(2)
0101	Time Transmission Sent	Time	62	67	(2)
0102	Original Transmission Date	Date	68	75	Spaces
0103	Original Transmission Time	Time	76	81	Spaces
0104	Test Production indicator	1 A/N	82	82	T
0105	Transmission Type Code	3 A/N	83	85	148 for FROI or A49 for SROI
0105	Release Number	2 A/N	86	87	30

(1) Include the appropriate information per the approved Trading Partner Profile
(2) Include the appropriate data per IAIABC Standards

> ➢ Trailer (TR2) Record: Ensure proper transaction count and detailed record count
> ➢ 148 Record: Ensure proper fixed file length
> ➢ R21, A49, R22 Records: Ensure proper file length dependent on variable segments
> ➢ Transmission method received: Trading Partner receipt of the batch, coincident with the electronic registration process. The batch returned must be reviewed by the trading partner to obtain the status of the transactions.
> ➢ There should be no format errors in header or trailer records
> ➢ Trading Partner must be able to receive, process and respond to the electronic acknowledgment transactions/reports
> ➢ Valid formatted data: Ensure that the data has a valid format for all data fields, e.g., data in the date of injury field, must be in DATE format, date of injury is before date of report and cannot be a non-existent date *(a date in the future),* values in code fields must have valid code values, etc.

Summary of Test Stages

Test Stages are an opportunity for EDI Vendors and Trading Partners to ensure their systems are supplying FROI and SROI data which meet **Alaska** filing requirements. EDI Vendors and Trading Partners are encouraged to submit as much test data as possible, with as much variety as possible, for as long as possible, and to go above and beyond simply submitting the minimum test scenarios. These Test Stages are best served with test data whose integrity aids in the accuracy and consistency of testing, and is a critical aspect to the design, implementation and usage of any system which stores, processes, or retrieves data. This extra testing effort will reassure the EDI Vendor and Trading Partner that when mandatory data reporting of EDI Claims Release 3.0 (AK v2.5) begins in **Alaska** on 11/19/2018, their FROI and SROI submissions will meet with minimal rejections.

Recommended Test Stages:

Stage 1, if you have not already done so, complete the EDI Trading Partner Profile Electronic Registration, for Alaska approval to begin testing. Please note that the information on the registration is used for both testing and for production reporting

Stage 2, is the Alaska business content test for FROIs that recommends the minimum FROI MTCs to be tested. When considering the FROI reports that will be tested, it is recommended that a review of Stage 3 SROI testing be performed for consideration to determine if additional FROI test reports are needed to establish claims and successfully complete the SROI testing.

Stage 3, is the Alaska business content test for SROIs that recommends the minimum SROI MTCs to be tested. Because the SROI testing builds on the accepted FROIs, ensure that there is an adequate amount of FROIs reported in Stage 2 to meet the SROI testing needs. For SROI reporting, special attention should be paid to transaction sequencing.

After completion of these three Stages, the final steps will be completed for Production Status for FROI and SROI Reporting effective 11/19/2018.

Miscellaneous Information for Test Stages

The length of time needed to complete the test, number of batches and number of FROI and SROI reports needed to complete the test stage depends on the Trading Partner's plan for completion of the test.

The data reporting requirements for each data element are listed in the ADOL R3 Requirements (V2.5) Table. Alaska accepts MTCs specific to Alaska requirements and Trading Partners are encouraged to test all MTCs as outlined in this plan. Refer to the ADOL R3 Information (V2.5) Table of the Alaska Implementation Guide for more information. The MTC Sequencing is found on the ADOL R3 Transaction Help (V2.5) Table which, if followed correctly, will promote successful sequencing test results. For additional information on all of Alaska's reporting requirements, refer to the Alaska Implementation Guide and Requirement Tables located on the Alaska EDI website at http://adoledi.info

Alaska will return acknowledgments to the Trading Partner during the testing phase(s). Processing is usually done the same day but no longer than 48 hours after the data is submitted with consideration for issues caused by the test file format or other unexpected processing issues.

The ability of the Trading Partner to store and properly use the JCN assigned by Alaska will be essential in testing. The Alaska JCN is a 9-digit number that is used as primary match data to locate the claim in Alaska's database.

Stage 1: EDI Trading Partner Profile Electronic Registration

Trading Partners are required to complete the Alaska Trading Partner Profile Registration before testing can begin, if they have not already done so previously.

See Alaska's website – http://adoledi.info (select Trading Partner Profile)

This Alaska EDI Trading Partner Profile Registration provides each trading partner with the ability to create and submit a New Profile or an Updated Trading Partner Profile. This form will uniquely identify a trading partner as the sender of the data, how the data will be sent, the business and technical contacts, and the demographics for the Subsidiary Insurers and Claim Administrators.

Upon completion of the EDI Trading Partner Profile online, an auto response email will be sent to the primary contact and to Alaska. This will serve as notification to all parties of the EDI Trading Partner information for EDI implementation.

Upon approval of the EDI Trading Partner Profile by Alaska, the EDI Support Team will contact the primary contact to indicate the start date for moving to Stage 2.

Stage 2: Business Content Test (FROI)

Test Stage 2 is recommended to ensure the following FROI Test requirements are successful before a Trading Partner submits electronic FROI data to Alaska in a Production status.

It is recommended that a review of the SROI Test Requirements be performed for consideration to determine if additional FROI test reports are needed to successfully establish claims to complete the SROI testing.

Trading Partners are encouraged to complete all data for the recommended transactions. The list of FROI MTCs are below. Trading Partners are encouraged to test the highlighted MTCs. The non-highlighted MTCs can be tested, but they are not a part of this test plan.

00 01 02 04 CO AQ AU

FROI Test Recommendations for Test Batches

FROI Test Recommendations for Batch 1

Trading Partners are recommended to submit initial Business Content Test transmission as follows:

1. At least ten (10) Original Transactions (MTC 00) including;
   a) One (1) FROI claim with an invalid data element that should cause a TR, (e.g. Invalid Employer FEIN)
   b) One (1) FROI claim with a missing mandatory data element that should cause a TR, (e.g. Employee Last Name)
   c) Five (5) FROI claims that obtain a TA status
   d) One (1) FROI claim with Claim Type N (Notification Only)
2. At least two (2) FROI Denial Transactions (MTC 04) without a Jurisdiction Claim Number (JCN) (DN0005), (e.g. a new claim for which no benefits will be paid.)

FROI Test Requirements for Batch 2

Trading Partners are encouraged to submit a second Business Content Test transmission as soon as can be scheduled after Batch 1 with the following transactions:

1. At least two (2) FROI Original Transactions (MTC 00) with no errors.
2. At least one (1) FROI Original Transaction (MTC 00) to correct the invalid TR transaction submitted in batch 1.

3. At least two (2) FROI Change Transactions (MTC 02):
   - (1) to change a Match Data element such as Employee First and/or Last Name or Date of Injury;
   - (1) to change a non-match data element
4. At least one (1) FROI Cancel Transaction (MTC 01) with the JCN from Batch 1.
5. At least two (2) FROI Original Transaction (MTC 00) which are subsequent first reports (FROI) for claims that were previously denied in their entirety (FROI 04).
6. At least two (2) FROI Denial (MTC 04) which are subsequent first reports (FROI) for claims whose compensability was denied and prior FROIs (MTC 00) were sent and accepted.

The Trading Partner are encouraged to submit additional Business Content Test transmissions as needed to complete the test with consideration of SROI testing.

Trading Partners and/or their EDI Vendors may request testing feedback from Alaska's Claims EDI vendor, Verisk/ISO - Workers Compensation Solutions division, by submitting the "Alaska EDI Testing Feedback Request List v2.5" to adoledi@iso.com.

Stage 3: Business Content Test (SROI)

Test Stage 3 is recommended to ensure that the following SROI testing requirements are met before a Trading Partner can routinely submit electronic data to the Alaska in a Production status. Trading Partners are encouraged to submit complete data for all required data elements. It is recommended that a review of the FROI Test Requirements for Test Batches be performed for consideration, to determine if additional FROI test reports are needed to successfully establish claims to complete the SROI testing.

SROI Data Population

To be fully confident that SROI data will pass edits in production, the test should include a variety of SROI MTCs that contain variable segment data representing each of the variable segments that are MTC appropriate:

Benefits
Benefit ACR
Concurrent Employer
Dependent/Payee Relationships
Full Denial Reason
Denial Reason Narrative
Other Benefits
Permanent Impairment
Recoveries
Reduced Earnings
Suspension Narrative

SROI Test Recommendations for Test Batches

Trading Partners are encouraged to submit Stage 2 FROI business content test transmissions to establish the claims for the balance of the SROI testing and to obtain the JCN that is required for the SROI test claims. In order to test sequencing, Trading Partners are encouraged to use the same claim as it moves through the natural progression of sequencing (e.g. IP, CA, CB, RE, Sx, RB, PY, AN, FN). Trading Partners are encouraged to complete all data for the recommended transactions. The list of SROI MTCs are below. Trading Partners are encouraged to test the highlighted MTCs. The non-highlighted MTCs can be tested, but they are not a part of this test plan.

02 04 AP **CA CB** CD CO **EP** ER **FN IP** PD **PY RB RE (Sx=S1 S2 S3 S4 S5 S6 S7 S8 SD or SJ)** UR VE **(Periodics: AN)**

1. At least two (2) SROI (MTC IP) Transactions with no errors (TAs) for JCN's previously accepted. One IP should be for 050 Temporary Total benefits.
2. One (1) SROI MTC with an invalid data element that should cause a TR, (e.g. Invalid Benefit Type Code)
3. One (1) SROI MTC EP (Employer Paid Salary in Lieu of Compensation)
4. One (1) SROI MTC CA to Change the Average Wage, Calculated Weekly Compensation Amount, Gross and Net Weekly Amount for Benefit Type to 050 (Temporary Total) after IP accepted.
5. One (1) SROI MTC CB to Change Benefit Types to 070 (Temporary Partial) after IP accepted.
6. One (1) SROI MTC RE to report Reduced Earnings after 070 (Temporary Partial) benefits have been accepted.

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7. One (1) SROI MTC PY to report PPI benefits and include Other Benefit Type Codes
8. One (1) SROI MTC PY to report a settlement.
9. At least four (4) SROI Change Transactions (MTC 02):
   - (1) to change a Match Data element such as Employee First and/or Last Name or Date of Injury;
   - (1) to change a non-match data element
   - (1) to add Reduced Benefit Amount Code D to reduce a Benefit Amount previously reported.
   - (1) to add a Benefit Redistribution for child support payments
10. One (1) SROI MTC 04 Denial Transaction (MTC 04) at some point after IP accepted.
11. One (1) SROI MTC Sx to Suspend Benefits after IP accepted.
12. One (1) SROI MTC RB to Reinstate Benefits after Sx accepted.
13. One (1) SROI MTC AN Annual Report
14. One (1) SROI MTC FN Final Report

Trading Partners and/or their EDI Vendors may request testing feedback from Alaska's Claims EDI vendor, Verisk/ISO - Workers Compensation Solutions division, by submitting the "Alaska EDI Testing Feedback Request List v2.5" to adoledi@iso.com.

Production Readiness and Reporting

EDI reporting of workers' compensation FROI and SROI production data will become effective on 11/19/2018.

➢ **Transmission method:** Alaska's receipt of FROI/SROI files coincident with method approved on Trading Partner Profile. The information concerning where the production files should be sent will be provided by our vendor, Verisk/ISO.

➢ **Test/Production Indicator:** The Test/Production Indicator (DN 0104) located in position 82 of the Header Record must now be set to 'P' when reporting Production data. Data will be posted to the Alaska Production database.

➢ **Data Quality Requirements:** Data sent to Alaska will continue to be monitored for completeness and validity. Trading Partners are expected to meet or exceed a 90% percent accuracy rate for mandatory and conditional data elements.

➢ **Data Quality Reports:** Alaska monitors the quality of production data received from individual Trading Partners and may contact the Trading Partners if the data quality falls below the expected accuracy rate.

➢ **Trading Partner Profiles:** Trading Partner Profiles must be kept up-to-date. Alaska must be notified of any changes via the update electronic registration process. See Alaska website – Alaska http://adoledi.info (select Trading Partner Profile).

Alaska EDI Testing Feedback Request List v2.5

This list can be found at http://adoledi.info/guide